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# Sliding Mode with Neural Network Regulator for DFIG Using Two-Level NPWM Strategy

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**Abstract:** This article presents a sliding mode control (SMC) with artificial neural network (ANN) regulator for the doubly fed induction generator (DFIG) using two-level neural pulse width modulation (NPWM) technique. The proposed control scheme of the DFIG-based wind turbine system (WTS) combines the advantages of SMC control and ANN regulator. The reaching conditions, robustness and stability of the system with the proposed control are guaranteed. The SMC method which is insensitive to uncertainties, including parameter variations and external disturbances in the whole control process. Finally, the SMC control with neural network regulator (NSMC) is used to control the stator reactive and a stator active power of a DFIG supplied by the NPWM strategy and confirms the validity of the proposed approach. Results of simulations containing tests of robustness and tracking tests are presented.

**Keywords:** Doubly Fed Induction Generator, Neural Pulse Width Modulation, Artificial Neural Network, Sliding Mode Control, Neuro-Sliding Mode Control.

## Nomenclature

ρ	Air density.
$S_w$	Wind turbine blades swept area in the wind.
V	Wind speed.
R	Blade radius.
Ω	Angular speed of the turbine.
$C_p$	Wind turbine energy conversion efficiency.
λ	Tip speed ratio.
β	Blade pitch angle.
$C_t$	Torque coefficient.
$P_s$	Active power.
$Q_s$	Reactive power.
$V_s, V_r$	Stator and rotor voltages.
$I_s, I_r$	Stator and rotor currents.
$\psi_s, \psi_r$	Stator and rotor fluxes
$R_s$ , $R_r$	Stator and rotor resistances
L <sub>s</sub> , L <sub>r</sub>	Stator and rotor inductances
$L_m$	Mutual inductance.

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#### 1 Introduction

N control systems, sliding mode control is widely Lused in control of AC machine drives. This strategy was proposed by Utkin in 1977 [1]. However, the robustness is the best advantage of an SMC method. On the other hand, the major disadvantage of the SMC strategy is that the chattering phenomenon caused by the discontinuous control action [2]. To avoid this problem of the SMC strategy, a fuzzy sliding mode controller (FSMC) has been proposed in [3]. A second order sliding mode controller (SOSMC) was designed to regulate the stator active and stator reactive power of a DFIG based wind turbine [4]. SOSMC control and neural network (NN) controller are combined to control DFIG using two-level neural space vector modulation (NSVM) inverter [5]. In this work, a neurosliding mode controller was proposed to control stator reactive power and stator active power of DFIG for the variable speed wind turbine.

Since the PWM strategy is usually used in control of AC machine drives. However, this technique is a simple control scheme and easy to implement. This technique gives more total harmonic distortion (THD), and high ripple in stator flux, electromagnetic torque, active power, reactive power and rotor current of the DFIG-based wind turbine systems. In order to minimize power

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ripples and THD value of classical PWM strategy, a new modulation strategy for the inverter control was proposed in this article based on the NN controller. The advantages of the proposed PWM technique is simple modulation scheme, easy to implement and gives minimum THD of current compared to traditional PWM strategy.

In this paper, two different control schemes will be compared with each other. These schemes are SMC control using classical PWM technique and NSMC control using NPWM strategy. The proposed control schemes are described clearly and simulation results are reported to demonstrate its effectiveness. The used control schemes are implemented in MATLAB/Simulink.

#### 2 The Model of Turbine

The WT input power usually is [6, 7]:

$$P_{\nu} = 0.5\rho S_{\nu\nu}^{3}$$

The output mechanical power of WT is:

$$P_m = C_p P_v = 0.5 \rho C_p S_w v^3 \tag{2}$$

The ration of the tip speed

$$\lambda = \frac{R\Omega_t}{v} \tag{3}$$

The  $C_p$  can be described as:

$$C_{p}(\beta,\lambda) = C_{1}\left(\frac{C_{2}}{\lambda_{i}} - C_{3}\beta - C_{4}\right)\exp\left(\frac{-C_{5}}{\lambda_{i}}\right) + C_{6}\lambda \qquad (4)$$

$$1 \qquad 1 \qquad 0.035$$

$$\frac{1}{\lambda_i} = \frac{1}{\lambda + 0.08\beta} - \frac{0.08\beta}{\beta^3 + 1}$$
(5)

The torque produced by the turbine is expressed in the following way [8]:

$$T_t = \frac{P_t}{\Omega_t} = 0.5\rho\pi R^3 v^2 C_t \tag{6}$$

$$C_{t} = \frac{C_{p}}{\lambda} \tag{7}$$

where  $C_1 = 0.5176$ ,  $C_2 = 116$ ,  $C_3 = 0.4$ ,  $C_4 = 5$ ,  $C_5 = 21$ ,  $C_6 = 0.0068$ .

The simulation of the power coefficient and the mechanical power is shown in Figs. 1 and 2 respectfully.

## 3 The Model of DFIG

In this section, the Park model of the doubly fed induction generator is the most used [9-12]. The equations of voltages and fluxes for the DFIG rotor and stator in Park reference frame are given by:



$$\begin{cases}
V_{ds} = R_s I_{ds} + \frac{d}{dt} \psi_{ds} - \omega_s \psi_{qs} \\
V_{qs} = R_s I_{qs} + \frac{d}{dt} \psi_{qs} + \omega_s \psi_{ds} \\
V_{dr} = R_r I_{dr} + \frac{d}{dt} \psi_{dr} - \omega_r \psi_{qr} \\
V_{qr} = R_r I_{qr} + \frac{d}{dt} \psi_{qr} + \omega_r \psi_{dr} \\
\begin{cases}
\psi_{ds} = L_s I_{ds} + MI_{dr} \\
\psi_{qs} = L_s I_{qs} + MI_{qr} \\
\psi_{dr} = L_r I_{dr} + MI_{ds} \\
\psi_{qr} = L_r I_{qr} + MI_{qs}
\end{cases}$$
(8)

The rotor and stator angular velocities are linked by the following relation:

$$w_s - w = w_r \tag{10}$$

where, w: is the mechanical pulsation,  $w_s$ : is the electrical pulsation of the stator, and  $w_r$  is the pulsation of the rotor.

The mechanical equation of the DFIG is:

$$T_e - T_r = J \frac{d\Omega}{dt} + f\Omega \tag{11}$$

where,  $T_r$  is the load torque, J is the inertia, f is the viscous friction coefficient, p is the number of pole

pairs.

The torque can be written as follows:

$$T_e = \frac{3}{2} p \frac{M}{L_s} (I_{dr} \psi_{qs} - I_{qr} \psi_{ds})$$
(12)

The power equations are defined as:

$$\begin{cases} P_{s} = \frac{3}{2} (V_{ds} I_{ds} + V_{qs} I_{qs}) \\ Q_{s} = \frac{3}{2} (V_{qs} I_{ds} - V_{ds} I_{qs}) \end{cases}$$
(13)

#### 4 NPWM Strategy

Pulse-width modulation, is a modulation strategy used to encode a message into a pulsing signal. The PWM strategy uses a rectangular pulse wave whose pulse width is modulated resulting in the variation of the average value of the waveform. The block diagram of the two-level PWM technique is presented in Fig. 3. This strategy gives more THD value of rotor current, electromagnetic torque ripple, active and reactive power ripples of the DFIG-based wind turbine systems [13]. To minimize the THD value, torque ripple, reactive power ripple, active power ripple, and to increase the maximum amplitude fundamental of the output voltage of the two-level inverter, we have applied the neural pulse width modulation technique.

The principle of neural pulse width modulation technique is similar to traditional PWM strategy. The difference results in using a neural controller to replace the hysteresis comparators. As shown in Fig. 4. However, the NNs are part of the family of statistical learning methods inspired by the biological nervous system and are used to estimate and approximate functions that depend only on a large number of inputs [14]. On the other hand, the NN controller contains 3 layers: output layers, input layers and hidden layers. Each layer is composed of several neurons [15].



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The structure of the NN controllers used to perform the PWM strategy is an ANN with one linear input node, 8 neurons in the hidden layer, and one neuron in the output layer. As shown in Fig. 5. The ANN controller is composed of two layers, Layer 1 (Fig. 6) and Layer 2 (Fig. 8). The training used is that of the retropropagation of Levenberg-Marquardt (LM). On the other hand, the parameters of the LM algorithm is shown in Table 1. On the other hand, the block diagram of the hidden layer of the neural controller is shown in Fig. 7.

#### 5 Neuro-Sliding Mode Controller

In what follows, a neuro-sliding mode controller is proposed to regulate the reactive and active power of a DFIG-based WTSs. However, the SMC control is one of the nonlinear strategies [16]. This strategy based on the theory of VSCS (variable structure control systems). On the other hand, this strategy is widely used for AC machine and the robustness is the best advantage of an SMC control. Nevertheless, this strategy has a huge disadvantage, which is the chattering phenomenon caused by the discontinuous control action. The SMC control with two-level PWM strategy, which is designed to control the reactive and stator active power of the doubly fed induction generator is shown in Fig. 9.

Various control techniques have been proposed to reduce the chattering phenomenon of SMC control. The most used technique is SMC based on Fuzzy logic (FL) controller and second-order sliding mode controller (SOSMC). In [17], Fuzzy sliding mode controller (FSMC) was designed to control the reactive and active powers of a DFIG. A fuzzy controller and SOSMC are combined to regulate the active and reactive powers of DFIG-based WTSs [18]. In this paper, we propose a NSMC strategy to control the active and reactive stator power of a doubly fed induction generator-based wind energy conversion system. However, neural network is a technology based on engineering experience and observations. In NNs, an exact mathematical model is not necessary and is simple to implement compared to the classical techniques.

In the SMC control, the control vector is imposed as follows:

$$V_{dq} = V_{dq}^{eq} + V_{dq}^n \tag{14}$$

The equivalent control vector  $V^{eq}$  can be expressed as [17]:







Table 1 Parameters of the LM for	or hysteresis comparators.	
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Parameters of the LM	Values
Number of hidden layers	8
TrainParam.Lr	0.005
TrainParam.show	50
TrainParam.eposh	1000
Coefficient of acceleration of	0.9
convergence (mc)	
TrainParam.goal	0
TrainParam.mu	0.9
Functions of activation	Tensing, Purling, gensim

$$\begin{cases} V_{dr}^{eq} = R_r I_{dr} - L_s \frac{L_r - \frac{M^2}{L_s}}{M \psi_{sWs}} \dot{Q}_{sref} - g_{Ws} \left( L_r - \frac{M^2}{L_s} \right) I_{qr} + \frac{L_r - \frac{M^2}{L_s}}{M} w_s \\ V_{qr}^{eq} = R_r I_{qr} + \frac{L_s}{V_s M} \dot{P}_{sref} - g_{Ws} \left( L_r - \frac{M^2}{L_s} \right) I_{dr} + g \frac{M V_s}{L_s} \end{cases}$$
(15)

 $V_{da}^{n}$  is the saturation function defined by:

$$V_{dq}^n = -K.sat(S_{dq}) \tag{16}$$

where K determines the ability of overcoming the chattering.

The SMC will exist only if the following condition is met:

$$S.\dot{S} \prec 0 \tag{17}$$

One way to improve SMC controller performance is to combine it with NN to form neuro-sliding mode

Ps

controller. The design of an SMC incorporating neural controller help in achieving reduced chattering phenomenon, powers ripples, distortion harmonic of rotor current, simple control, easy to implement and robustness against disturbances and nonlinearities. However, the NSMC is a modification of the SMC, where the switching controller term sat(S(x)), has been replaced by a neural control input as given below.

$$V_{dq}^{com} = V_{dq}^{eq} + V_{dq}^{Neural}$$
(18)

Fig. 10 represents the NSMC technique of a doubly fed induction generator driven by a two-level NPWM technique.

The proposed NSMC technique, which is designed to control the active and stator reactive powers of the doubly fed induction generator, is shown in Fig. 11.

Turbine



Fig. 10 NSMC control with NPWM technique.



Fig. 11 Block diagram of the NSMC.

The construction of the NN controller to realize the SMC control applied to DFIG adequately was a NN controller with one linear input node, 8 neurons in the hidden layer, and one neurone in the output layer, as shown in Fig. 12.

The convergence of the network in summer obtained by using the value of the parameters grouped in Table 2. The block diagram of the hidden layer for the neural controller is shown in Fig. 13.



**Table 2** Parameters of the LM for switching controller.

ruble - r urumeters of the En	i for switching controller.
Parameters of the LM	Values
Number of hidden layer	08
TrainParam.Lr	0.005
TrainParam.show	50
TrainParam.eposh	1000
Coeff of acceleration of	0.9
convergence (mc)	
TrainParam.goal	0
TrainParam.mu	0.9
Functions of activation	Tensing, Purling, gensim



Fig. 13 Block diagram of the hidden layer.

#### 6 Simulation Results

In this section, simulations are investigated with a 1.5MW generator connected to a 398V/50Hz grid. The machine parameters are presented in the Table 3 (see Appendix 1). The proposed control schemes will be tested and compared to two different configurations: robustness against parameters variations and reference tracking.

#### 6.1 Reference Tracking Test (RTT)

Figs. 14-21 show the obtained simulation results. For the proposed control schemes, the active and stator reactive power track almost perfectly their references NSMC-NPWM values. Moreover, the control minimized the powers ripples compared to the SMC-PWM control (See Figs. 17-19). On the other hand, Figs. 20-21 shows the harmonic spectrums of one phase rotor current of the doubly fed induction generator obtained using Fast Fourier Transform technique for NSMC-NPWM and SMC-PWM one respectively. It can be clearly observed that the THD is reduced for NSMC-NPWM control technique (THD = 0.13%) when compared to SMC-PWM (THD = 0.33%).



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#### 6.2 Robustness Test (RT)

In the following section, the nominal value of the  $R_r$  and  $R_s$  is doubled, the values of inductances  $L_s$ , M, and  $L_r$  are halfed. Simulation results are presented in Figs. 22-27 and Figs. 28-29. As shown, these variations present a clear effect on the active power, reactive power, rotor current and electromagnetic torque. However, the effect appears more important for the SMC-PWM control technique compared to NSMC-NPWM control (see Figs. 25-27). On the other hand, the THD value of rotor current in the NSMC-NPWM has been significantly minimized. Table 4 shows the comparative analysis of THD value. Thus it can be concluded that the NSMC-NPWM control technique is more robust than the SMC-PWM control.



Fig. 21 THD of rotor current for NSMC-NPWM control (RTT). Table 4 Comparative analysis of THD value (RT).



Fig. 20 THD of rotor current for SMC-PWM control (RTT).



Fig. 27 Zoom in the electromagnetic torque (RT).

### 7 Conclusion

In this article, we presented the sliding mode control with the neural network of the stator reactive and active powers of the doubly fed induction generator supplied by neural pulse width modulation techniques at twolevel.

This study presents a control strategy for a doubly fed induction generator based wind turbine systems using the SMC and NSMC with a different modulation technique (PWM, NPWM). The simulation results show that the NSMC control with NPWM is an excellent solution for doubly fed induction generator based wind turbine. The results of the simulation clearly show good performances with the NPWM technique. Indeed, while comparing with traditional PWM technique, the neuro-



Fig. 28 THD of rotor current for SMC-PWM control (RT).



Fig. 29 THD of rotor current for NSMC-NPWM control (RT).

sliding mode control with NPWM method is faster and more robust in the various operating processes of the engine (tracking tests and robustness tests).

## Appendix 1

Table 3 The DFIG Parameters.				
Parameters	Rated Value	Unit		
Р	1.5	MW		
$V_s$	398/690	V		
$F_s$	50	Hz		
Р	2			
$R_s$	0.012	Ω		
$R_r$	0.021	Ω		
$L_s$	0.0137	Н		
$L_r$	0.0136	Н		
М	0.0135	Н		
J	1000	Kg m <sup>2</sup>		
f	0.0024	Nm/s		

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